

Distinguishing Archaeorhizomycetes as a potential saprobe and endophytic-symbiont along an altitudinal gradient in the Swiss Alps

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Abstract

Archaeorhizomycetes is a recently proposed class of ancient soil fungi found in terrestrial ecosystems worldwide. Despite its global distribution and high abundance in the fungal community, its potential ecological role is poorly understood. We used Illumina sequenced fungal internal transcribed spacer (ITS) region, plant cover, and abiotic data collected in the western Swiss Alps to investigate whether the distribution of Archaeorhizomycetes along an altitudinal gradient provides insight into its ecological function. A selection of the most correlated variables was chosen using Spearman's rank correlation analyses and these factors were then fitted with linear and quadratic regression models. We observed that certain topoclimatic factors significantly explained Archaeorhizomycetes abundance. Archaeorhizomycetes is also significantly positively correlated with the plant species *Carex flacca*, which is known to interact with endophytic fungi, while significantly negatively correlated with a known mycorrhizal fungi, indicating potential competition between fungal families. Thus, we proposed that Archaeorhizomycetes acts as an endophytic-symbiont.

Keywords:

Saprobe, endophyte, topoclimatic, edaphic factors, elevational gradient, correlative analyses

27 **Introduction**

28 Understanding of the ecological role of cryptic soil microorganisms in terrestrial
29 ecosystems is a continuous pursuit both for microbial and plant ecologists (van der
30 Heijden *et al.*, 2008; Pellissier *et al.*, 2013; Buttigieg & Ramette, 2014).
31 Archaeorhizomycetes is one of the most ubiquitous soil fungi classes with a dominant
32 presence in the soil fungal community (Rosling *et al.*, 2011; Rosling *et al.*, 2013), however,
33 its ecological role has not yet been clearly defined. Thus, there are unanswered questions
34 as to how this fungal class contributes to the terrestrial ecosystem and under which
35 environmental conditions are these taxa best suited to do so. Defining the potential
36 ecological role of Archaeorhizomycetes through its significant relationships with biotic and
37 abiotic factors will improve our understanding of the hidden biodiversity in the soil
38 ecosystem.

39 Despite the advances for exploring soil biodiversity by non-culturing techniques
40 such as DNA barcoding and the use of operational taxonomic units (OTUs) to describe
41 microorganisms (Barberán *et al.*, 2012), the ecological roles of the vast majority of soil
42 bacteria and fungi remain uncharacterized and not accurately interpreted (Rosling *et al.*,
43 2011; Rodriguez *et al.*, 2009). Most insights on the ecological functions of bacteria and
44 soil fungal organisms have emerged from experimental studies on agricultural crop plants
45 and insect model species (Philippot *et al.*, 2013). These studies have described soil fungi
46 interacting with plant roots through a variety of symbiotic mechanisms, including
47 mutualistic associations as either mycorrhizal or endophytic fungi (Carlile *et al.*, 2001) and
48 antagonistic associations as pathogenic fungi (Carlile *et al.*, 2001). For example,
49 approximately 80% of land plants engage in mutualisms with arbuscular mycorrhizal fungi
50 (AMF) (van der Heijden *et al.*, 1998).

51 Certain fungi do not require carbon from plants and can obtain substantial
52 nourishment from decaying organic material (Lindahl *et al.*, 2007; Carlile *et al.*, 2001).

53 These decomposers are free-living soil saprobes, which break down organic material and
54 release nitrogen from plant litter (Hodge & Fitter, 2010; Lindahl *et al.*, 2007). Many soil
55 fungi have the capability to switch from a free-living state to an endophytic life strategy
56 and vice versa (Rodriguez *et al.*, 2009). Endophytes are a diverse and ubiquitous fungal
57 functional group, colonizing plant tissues without having an obvious negative impact on
58 the plant host (Jumpponen & Trappe, 1998; Rodriguez *et al.*, 2009). Most endophytes
59 belong to the phylum Ascomycota and have been located in every family of sampled
60 terrestrial plants in every type of biome, extending from tropical to arctic ecosystems
61 (Rodriguez *et al.*, 2009; Jumpponen & Trappe, 1998). Benefits that different forms of
62 endophyte groups provide to plant hosts range from increasing nutrient uptake and
63 biomass, improving drought tolerance, enhancing herbivore deterrence, and managing
64 habitat-specific stressors as soil pH, temperature, and salinity (Jumpponen & Trappe,
65 1998; Rodriguez *et al.*, 2009). However, the precise function of the majority of fungal
66 endophytes remains to be explored and properly characterized (Rodriguez *et al.*, 2009).

67 Rosling and colleagues (2011) proposed a new fungal class called
68 Archaeorhizomycetes, which is taxonomically located within the phylum Ascomycota. The
69 type species *Archaeorhizomyces finlayi* has been determined by laboratory culturing
70 methods and electron micrograph images (Rosling *et al.*, 2011). Previously,
71 Archaeorhizomycetes was included in the Soil Clone Group 1 (SCG1), a highly abundant
72 soil fungi found in a variety of biomes, ranging from tropical to alpine ecosystems (Rosling
73 *et al.*, 2011; Rosling *et al.*, 2013). It has been suggested that Archaeorhizomycetes may
74 be mycoparasitic on other fungal organisms, but this life strategy remains unexplored
75 (Rosling *et al.*, 2013). Archaeorhizomycetes has exhibited plant-host preference in studies
76 performed in Alaska (Rosling *et al.*, 2013), however, these preferences may not indicate
77 its behavior towards plant hosts worldwide (Rosling *et al.*, 2013). Archaeorhizomycetes

78 has been observed to interact with plant roots, yet these interactions are neither parasitic
79 nor mycorrhizal relationships, and is suggested to be an endophyte (Rosling *et al.*, 2013).

80 Due to its broad geographical range and its strong affiliation with soil environments
81 harboring plant roots (Rosling *et al.*, 2013), it is important to distinguish the potential
82 relationships Archaeorhizomycetes has with both abiotic factors, such as soil
83 physicochemical properties and topoclimatic variables, and biotic factors, such as plant
84 species and other soil organisms. To determine these relationships, we used a DNA
85 metabarcoded soil fungal dataset from 103 random-stratified sampling sites distributed
86 along an altitudinal gradient in the western Swiss Alps. This study area has been
87 previously and extensively investigated in order to understand plant species and microbial
88 community distributions along an elevational gradient (Pellissier *et al.*, 2013). From these
89 studies, a collection of topoclimatic variables and an inventory of the plant species of the
90 region have been recorded (Pellissier *et al.*, 2013; Dubuis, 2013). Elevation was chosen
91 as a proxy to which to infer the fungal OTU richness because differences in abiotic and
92 biotic factors are easily observable along an altitudinal gradient and can resemble
93 changes in latitude (Pellissier *et al.*, 2014).

94 Here, exploratory and predictive-oriented analyses were used to examine whether
95 Archaeorhizomycetes abundance OTUs are related to specific abiotic and biotic factors.
96 The edaphic factors were first reduced by agglomerative hierarchical clustering. Next, co-
97 abundance analyses were performed between Archaeorhizomycetes and other fungal
98 family OTUs, selected plant species, topoclimatic factors, and edaphic properties to
99 choose specific environmental variables. Correlative principal component analyses (PCA)
100 were then created to visualize the relationships between the abiotic and biotic factors and
101 Archaeorhizomycetes. Lastly, linear and quadratic regression models were fitted to these
102 selected predictors in order to discern which factors have significant correlations to

Archaeorhizomycetes abundance. This approach complements the culture-dependent characterization of these taxa performed by Rosling and colleagues (2011).

The aim of this study is to conclude if the distribution of Archaeorhizomycetes OTUs along an altitudinal gradient can provide insight into its ecological role. Specifically, which underlying environmental factors, abiotic and biotic, significantly explain its distribution and ecological role? Since Archaeorhizomycetes is neither pathogenic nor mycorrhizal fungi but rather is described as either an endophyte or a saprobe (Rosling *et al.*, 2011), we hypothesize that Archaeorhizomycetes has the capacity to employ both life strategies as either free-living soil saprobe or occupy plant tissues as an endphytic-symbiont. It is important to note as this is an empirical correlative approach and future experimental studies examining Archaeorhizomycetes OTUs along an altitudinal gradient are needed to validate the evidence presented here.

Materials and Methods

Study area and sampling

This study was conducted along an elevation gradient from 600m to 3,000 m in a 700 km² area in the western Swiss Alps, Switzerland (Figure 1). Soil samples were collected from 103 sites across a variety of non-forested areas, during the plant-growing season between July and September 2012. We focused on non-forested areas because trees influence soil fungal composition in a different way than herbaceous plants (Pellissier *et al.*, 2014). The plots were randomly chosen from a proportional design (Hirzel & Guisan, 2002) considering elevation, slope, and aspect strata. After removing plant litter, five soil cores (4 corners and 1 central, 0 - 5 cm in depth) were collected from a square 4 m² plot. Soil core samples were immediately placed on ice, and were prepared for molecular and soil chemical analyses within 24–36 hours after collection.

129 ***Plant species and abiotic data***

130 The western Swiss Alps study area represents a heterogeneous environment of
131 biotic (as plant species, other soil microbes) and abiotic factors (edaphic variables,
132 topoclimatic factors). For this study, a total of 448 plant species previously recorded as
133 percent cover (Dubuis, 2013) during two projects (Modiplant, 2002 – 2006; Bioassemble,
134 2009) were used for further analyses. A total of 48 abiotic factors were taken into
135 consideration (Supplementary, Table S1). Data for the soil properties, for example nutrient
136 and mineral content, were measured and recorded from the soil samples in the laboratory
137 (Buri *et al.*, unpublished). Data of 10 topoclimatic variables calculated at 25 m resolution
138 for the study area were selected (Pradervand *et al.*, unpublished).

139

140 ***Fungal community assessment***

141 Fungal community composition was measured as described in Pinto-Figueroa *et*
142 *al.*, (unpublished). Briefly, for each of the 103 samples, environmental DNA was extracted
143 from 1 g of soil using the MOBIO PowerSoil DNA isolation kit (MOBIO Laboratories) and
144 PCR analyses were used to amplify the fungal internal spacer transcriber 1 (ITS1) region
145 using customized barcode labelling on both forward ITS1F (Schmidt *et al.*, 2013) and the
146 reverse ITS2 primers. Paired-end sequencing (2 x 100 nt) was performed on the Illumina
147 Hiseq 2500 platform. Raw sequencing data was processed and prepared for QIIME 1.8
148 (Caporaso *et al.*, 2011). Forty-nine million of demultiplexed paired-end reads were
149 analysed using open-reference OTU picking (Rideout *et al.*, 2014). Representative fungal
150 OTUs were rarefied at 2,100 reads per sample. For downstream analyses, relative
151 abundance of Archaeorhizomycetes and other fungal OTUs per sample were converted to
152 sequence reads by multiplying the relative abundance per sample by the rarefaction
153 value.

154

155 **Data analysis**

156 Abiotic and biotic factors that best predict the ecological function of
157 Archaeorhizomycetes in terms of OTU abundance along an elevational gradient were
158 selected using correlative and statistical approaches in R version 3.2.2 (R Development
159 Core Team, 2015). The session information and specific R packages used to conduct
160 these tests are included in the supplementary information (Table S2). These different
161 exploratory and predictive methods were used in conjunction with one another in order to
162 select the most significant ecological factors.

163

164 **Selection of abiotic factors**

165 A non-parametric Spearman rank correlation coefficient was applied separately to
166 each the topoclimatic factors and the soil properties to assess how well each variable
167 is related to another in the group. The correlated factor groups determined by the
168 Spearman test were then plotted in a *k*-means graph (Supplementary, Figures S1a
169 and S1b) depicting the number of related clusters (*k*). The number of clusters retained
170 was *k*=10 for each the edaphic variables and the topoclimatic factors. The results from the
171 Spearman rank correlation test were then applied to an agglomerative hierarchical
172 clustering method using Ward's linkage criterion. For the hierarchical clustering, Ward's
173 linkage criterion was implemented because the algorithm minimizes the total within cluster
174 variance, hence each factor variable in each cluster is more closely related to the other
175 (Murtagh & Legendre, 2014). These hierarchical clusters determined by Ward's criterion
176 were then depicted in a dendrogram (Supplementary, Figures S2a and S2b), and split into
177 10 partitions as determined from the *k*-means graph. One factor was chosen from each of
178 the 10 clusters. This process allowed us to reduce the edaphic data by selecting one soil
179 explanatory factor per each cluster of similar variables in the dendrogram (Supplementary,
180 Figure S2a).

181 The relatedness between these selected abiotic factors were then spatially
182 represented in a correlation PCA (Figure 2a). We selected the data to be spatially reduced
183 into 3 principal components (PCs) and calculated the variance explained by the
184 eigenvalues for each PC. We then summed these 3 variance calculations in to one value
185 totaling the variance explained by the correlation PCA. Individual correlation PCAs were
186 also generated for only the topoclimatic factors (Supplementary, Figure S3a) and the
187 reduced edaphic factors (Supplementary, Figure S3b) to spatially interpret the
188 relationships between variables.

189 Both hierarchical clustering and the PCA approaches were combined to visually
190 maximize the (dis)similarities in the factor variables (Ramette, 2007) in relation to
191 Archaeorhizomycetes, allowing for the reduction from 48 variables to 20. Although both
192 methods are associated, the hierarchical clustering of the soil explanatory variables and
193 the topoclimatic factors provide another perspective to the spatial ordination of these
194 same factors in a PCA, as some of the interactions in the data in a PCA can become
195 distorted (Ramette, 2007).

196

197 ***Selection of biotic factors***

198 The plant species and other fungi factors were greatly reduced from the raw data
199 set using a Spearman's rank correlation to determine the pairwise relationships between
200 these variables and Archaeorhizomycetes abundance. A Spearman's rank test was used
201 because the data is based on abundance rather than presence/absence. A filter was then
202 applied to the results of the Spearman's rank test, with a threshold of ± 0.30 for the plant
203 species and ± 0.5 for the fungi families. The correlation coefficient is on a scale from -1
204 being highly uncorrelated to +1 being highly correlated, a monotonic relationship. The
205 plant species which had a relative abundance of above ± 0.30 were retained as the most
206 correlated with Archaeorhizomycetes, while all other factors below this threshold were

207 excluded from further analyses. Similarly, for the fungi OTUs, variables which had a
208 correlation with Archaeorhizomycetes above ± 0.5 were omitted from the final analyses.

209 Results obtained after filtering the data were then represented in a second
210 correlation PCA relative to Archaeorhizomycetes (Figure 2b) for these biotic predators.
211 Three PCs were chosen to describe the variance in the factors, and the variance
212 determined by their eigenvalues were calculated and summed.

213

214 ***Co-abundance correlation plotting***

215 The selections made from the Spearman's rank correlation analyses on both the
216 abiotic and biotic factors were then plotted in a correlative co-abundance heat map with
217 Archaeorhizomycetes (Figures 3a and 3b). The heat maps follow a Spearman's
218 correlation range of -1 (highly uncorrelated) to +1 (highly correlated, a value of 1 is the
219 variable paired with itself). The biotic factors heat map (Figure 3b) includes the filtered
220 variables which met or were above the threshold of ± 0.30 for the plant species and ± 0.50
221 for the fungal OTUs. These heat maps offer another visualization to those of the
222 correlation PCAs of the relationships among the factors and Archaeorhizomycetes and
223 allow us to infer which factors are most correlated with these OTUs. The session
224 information and specific R packages used to conduct the co-abundance correlations are
225 included in the supplementary information (Table S2).

226

227 ***Linear and quadratic regression models***

228 In order to determine which selected abiotic and biotic correlations are most
229 significant in supporting our hypothesis, simple linear and a quadratic regression models
230 were each fit to the data. Both models were used to account for the different distributions
231 in the data relative to the OTUs abundance of Archaeorhizomycetes. These models were
232 constructed using Archaeorhizomycetes as the response variable and the 33 selected

233 variables as the terms of the model. The Akaike Information Criterion (AIC) stepwise
234 algorithm was applied to estimate the quality of the models under all possible
235 permutations and further reduction of explanatory variables (Akaike, 1974).

236 To account for false-positives returned from the models, three different p -value
237 adjustments were calculated. These techniques included a Bonferroni correction, a Holm
238 (1979) correction, and a Benjamini & Hochberg (1995) (BH) correction. Three correction
239 formulas were used because the Bonferroni correction is a highly conservative correction
240 method for multiple testing (Holm, 1979). The other two methods, BH and the Holm
241 correction, are less stringent in correcting for false discoveries in the data. The BH
242 correction considers the family-wise error rate, in which the mathematical equations
243 regulate the probability of comparing multiple variables in simultaneous modeling giving a
244 false-positive (Benjamini & Hochberg, 1995). The three different correction techniques
245 allow us to make more well-rounded interpretations of the results.

246 Table 3 and Table 4 present the abiotic and biotic factors that returned a significant
247 p -value (≤ 0.05) from the best fit linear regression model and best fit quadratic regression
248 model, respectively. The adjusted p -values obtained from the correction formulas are
249 represented in each table as well. It is important to note that a simple linear regression
250 model was used in this study and not a generalized linear model (GLM) and that the
251 significant p -values obtained from the linear and quadratic model testing should be
252

253 **Results**

254 ***Selection for abiotic factors***

255 After hierarchical clustering, 10 soil properties were chosen (Table 1). These
256 edaphic factors were selected based on the ecological information they provide. Due to
257 the high correlation between each topoclimatic variable, these 10 factors were not

258 reduced further. Thus, the abiotic factors were reduced from 48 variables to 20 and the
259 results are depicted in Table 1.

260 The correlation PCA for the selected topoclimatic factors (Supplementary, Figure
261 S3a) explained 87.43% of the variance with 3 PCs. The PCA for edaphic variables
262 selected (Supplementary, Figure S3b) explained 63.79% of the variance with its 3 PCs,
263 indicating a good relationship between these reduced factors. The final PCA with both
264 topoclimatic and edaphic factors demonstrates in 2-dimensional space three independent
265 groupings of correlated factors, and the 3 PCs describe 59.66% of the total variation in the
266 data (Figure 2a). In Figure 2a, Archaeorhizomycetes is located toward the center of the
267 correlation circle and appears to be highly correlated with sodium oxide (Na_2O) and
268 aluminum oxide (Al_2O_3).

269

270 ***Co-abundance patterns with abiotic factors***

271 Results of the Spearman's rank correlation for the abiotic factors show that
272 potassium oxide (K_2O) returned the highest positive correlation with Archaeorhizomycetes
273 ($r_S = 0.30$), while the minimum monthly average temperature ($t_{\min}$) was the most
274 negatively correlated ($r_S = -0.17$). The correlation coefficient values for the remaining 18
275 abiotic variables are: maximum monthly average temperature ($t_{\max}$, $r_S = -0.09$); annual
276 growing degree days with 3°C threshold limits (gdd , $r_S = -0.10$); minimum precipitation
277 days per growing season ($p_{\min}$, $r_S = -0.01$); maximum precipitation days per growing
278 season ($p_{\max}$, $r_S = -0.04$); sum precipitation days per growing season (p_{sum} , $r_S = -0.03$);
279 daily average evapotranspiration per month (etp , $r_S = -0.12$); topographic position (topos ,
280 $r_S = 0.04$); topographic aspect (asp , $r_S = 0.01$); slope (slp , $r_S = 0.14$); soil temperature ($r_S =$
281 0.02); soil pH ($r_S = 0.01$); soil nitrogen concentration ($r_S = 0.25$); Al_2O_3 content ($r_S = 0.26$);
282 Na_2O content ($r_S = 0.02$); organic matter (OM, $r_S = 0.25$); phosphorus pentoxide content
283 (P_2O_5 , $r_S = -0.06$); soluble phosphate ($r_S = -0.11$); isotopic nitrogen (d^{15}N , $r_S = -0.14$).

284 These results are visually depicted in Figure 3a. The 10 selected topoclimatic variables
285 and 10 selected edaphic factors are listed in Table 1.

286

287 ***Co-abundance patterns with biotic factors***

288 In the biotic PCA (Figure 2b), Archaeorhizomycetes is displayed in the lower left
289 quadrant of the correlation circle, correlating closely with the non-mycorrhizal plant
290 species *Carex flacca* and the fungal family Mortierellaceae. The cumulative variance
291 percentage explained by the 3 PCs of the final biotic PCA 32.20%. This PCA includes only
292 the reduced plant and fungal factors that are highly correlated with Archaeorhizomycetes.

293 From the Spearman's rank correlation between Archaeorhizomycetes and the 7
294 plant species, *Carex flacca* had the most positive correlation with Archaeorhizomycetes
295 abundance, with a $r_S = 0.39$. This result is supported by the spatial ordination of *C. flacca*
296 in the correlation PCA. Other plant species which displayed positive co-abundance with
297 the taxa are: *Leucanthemum vulgare* ($r_S = 0.34$), *Alchemilla coriacea* ($r_S = 0.32$), *Anthyllis*
298 *vulneraria* ($r_S = 0.30$), and *Silene vulgaris* ($r_S = 0.27$). There were two plants that were
299 negatively correlated with Archaeorhizomycetes: *Stellaria graminea* ($r_S = -0.32$) and
300 *Rumex acetosa* ($r_S = -0.26$) (Figure 3b).

301 For the 6 fungal families, the co-abundance analyses showed that the fungal family
302 Mortierellaceae has the most positive relationship with Archaeorhizomycetes ($r_S = 0.44$).
303 This is congruent with the spatial ordination given in the correlation PCA. Two other fungal
304 families positively co-abundant with Archaeorhizomycetes: Sebacinaceae ($r_S = 0.43$) and
305 Thelephoraceae ($r_S = 0.18$). The three fungal families that were most negatively correlated
306 are: Archaeosporaceae ($r_S = -0.26$), Tubeufiaceae ($r_S = -0.20$), and Suillaceae ($r_S = -0.20$)
307 (Figure 3b). The 7 selected plant species, including their families and proposed interaction
308 with fungi, and 6 selected fungal OTUs, with their phyla and presumed function, are
309 represented in Table 2.

310 ***Linear and quadratic regression models***

311 The results from the linear regression and quadratic regression models are
312 displayed in Table 3 and Table 4. Only predictors that were statistically significant with a
313 p -value of ≤ 0.05 are listed, along with the adjusted false-positive p -value results. The
314 non-mycorrhizal plant *C. flacca* is the only plant species with the most significant positive
315 relationship to Archaeorhizomycetes (p -value = $3.53e^{-4}$, corrected p -value = $3.20e^{-3}$ for all
316 3 techniques). Squaring the terms in a quadratic model decreased the significance of the
317 p -value for *C. flacca* (p -value = 0.042, corrected p -value = NS for all 3 techniques). Only
318 one fungal family, Archaeosporaceae, had a significant negative relationship with
319 Archaeorhizomycetes in the linear regression model and not found significant in the
320 quadratic model (p -value = 0.028, corrected p -value = 0.050 BH; other 2 methods = NS).
321 No fungal families were significant predictors of Archaeorhizomycetes abundance in the
322 quadratic model. Although there was a strong correlation between Mortierellaceae and
323 Archaeorhizomycetes as seen in the biotic PCA (Figure 2b), this factor was not
324 determined to be a significant predictor from the linear and quadratic regression modeling.

325 From the linear model for the topoclimatic factors, only maximum monthly average
326 temperature (tmax) had a significant positive correlation, with a p -value of $3.44e^{-3}$
327 (corrected p -value = 0.010 BH; 0.031 Bonferroni; 0.024 Holm), while annual growing
328 degree days (gdd) had a significant negative correlation (p -value = $4.44e10^{-3}$, corrected p -
329 value = 0.010 BH; 0.040 Bonferroni; 0.027 Holm). In the quadratic regression, maximum
330 precipitation days per growing season (pmax) (p -value = $5.32e10^{-3}$, corrected p -value =
331 0.012 BH; 0.037 Bonferroni; 0.027 Holm) and daily average evapotranspiration per month
332 (etp) (p -value = $2.48e10^{-3}$, corrected p -value = $8.70e10^{-3}$ BH; 0.017 Bonferroni; 0.015
333 Holm) each had significant negative correlations with Archaeorhizomycetes abundance.

334 Two edaphic variables were returned as significant factors from the linear model.
335 Soil pH has a significant positive relationship (p -value = 0.048, corrected p -value = NS for

all 3 techniques), as does Al_2O_3 (p -value = 1.15×10^{-3} , corrected p -value = 5.20×10^{-3} BH; 0.010 Bonferroni; 9.20×10^{-3} Holm). From the quadratic model, only the soil concentration of Al_2O_3 had a significant positive relationship to Archaeorhizomycetes (p -value = 1.13×10^{-4} , corrected p -value = 8×10^{-4} for all 3 techniques). Despite the high correlation of Na_2O with Archaeorhizomycetes as seen in the abiotic PCA (Figure 2a), this factor was not determined to be a significant predictor from the linear and quadratic regression modeling.

342

343 Discussion

Soil microbes comprise an important and necessary role in the functioning and diversity of the terrestrial ecosystem. Studying ecological interactions is dynamic and complex due to the intricate relationship of multiple variables that influence specific responses in the organisms in question (Buttigieg & Ramette, 2014). Archaeorhizomycetes is a ubiquitous soil fungus yet much about the putative ecological interactions of these taxa remains to be studied. Specific fungal life strategies of Archaeorhizomycetes being non pathogenic and non mycorrhizal have already been determined (Rosling *et al.*, 2011; Rosling *et al.*, 2013). Thus, it is of great interest to further examine the potential influence and interactions these taxa have in the soil ecosystem.

To confirm our hypothesis that Archaeorhizomycetes is an endophyte with potential mutualistic symbiotic and saprotrophic characteristics, we identified the significant abiotic and biotic factors related with these taxa by an empirical correlative approach. Through correlative analyses, a large amount of abiotic and biotic data collected from the western Swiss Alps study area was reduced to a selection of factors that drive OTU abundance of Archaeorhizomycetes and predict a prospective ecological function.

From the correlation tests, 20 abiotic factors were chosen, composed of 10 topoclimatic factors and 10 edaphic properties (Table 1). A total of 4 topoclimatic factors, maximum monthly average temperature (tmax), annual growing degree days (gdd) with

362 3°C threshold limits, maximum precipitation days per growing season (pmax), and daily
363 average evapotranspiration per month (etp), had significant correlations with affecting the
364 OTU richness of Archaeorhizomycetes. From these results, it can be inferred that the
365 abundance of these taxa is influenced by warmer temperature conditions as indicated by
366 the positive correlation with maximum monthly average temperature. The negative
367 correlation with annual growing degree days was an unexpected result and we cannot
368 interpret how this variable is affecting the abundance of Archaeorhizomycetes. Both
369 predictors associated with moisture conditions, maximum precipitation days per growing
370 season and daily average evapotranspiration per month, are significantly negatively
371 related to the OTU abundance, denoting that Archaeorhizomycetes may be more rich in
372 drier environments. The remaining 6 topoclimatic factors do not have a significant impact
373 on Archaeorhizomycetes OTUs.

374 Two edaphic variables including increasing soil pH and low to increasing Al₂O₃
375 content were significantly related with Archaeorhizomycetes abundance. Soil pH values
376 were positively related to Archaeorhizomycetes, suggesting that these taxa can tolerate
377 more basic soil settings. This result is congruent with the strong correlation with soil pH
378 and OTU abundance found by Rosling and colleagues (2013). However, we cannot
379 assume that Archaeorhizomycetes displays a preference towards higher pH units because
380 there may be other environmental factors that drive abundance which overlap with a soil
381 pH gradient (Rosling *et al.*, 2013). Low to slightly increasing levels of Al₂O₃ positively
382 drives Archaeorhizomycetes abundance, potentially meaning that these fungi are tolerant
383 to low levels of Al₂O₃ and thus may be able to outcompete other soil microbes that are
384 more sensitive to Al₂O₃ because at high concentrations, Al₂O₃ is toxic to plants and other
385 soil microbes (Huang *et al.*, 2002).

386 For the biotic factors, one plant species was determined to have significant positive
387 co-abundance relative to Archaeorhizomycetes OTUs. *Carex flacca* is a known non-

388 mycorrhizal plant, and has demonstrated a robust affiliation with endophytic fungi (van der
389 Heijden *et al.*, 1998; Jumpponen & Trappe, 1998; and Johnson *et al.*, 2003). The fact that
390 this correlation was positive concludes that perhaps Archaeorhizomycetes interacts with
391 living plant tissues as an endophyte. Thus, these taxa have the ability to form mutualistic
392 symbioses as an endophyte, rather than being limited to only decomposing organic
393 material as a saprobe.

394 One fungal family was found to have a negative relationship with
395 Archaeorhizomycetes OTUs. Archaeosporaceae is in the phylum Glomeromycota and is
396 characterized as an arbuscular mycorrhizal fungi (AMF) (Morton *et al.*, 2001). This
397 negative correlation can infer that perhaps there is competition between free-living
398 saprotrophic Archaeorhizomycetes and other fungal OTUs with different life strategies. We
399 postulate that in the presence of mycorrhizal fungi such as Archaeosporaceae, these taxa
400 and potential saprotrophic forms of Archaeorhizomycetes compete for nutrients.

401 Since Archaeorhizomycetes is a ubiquitous yet cryptic fungal class, our findings in
402 this study provide novel and important information on its potential function. However,
403 future laboratory experiments are necessary to supplement this correlative approach in
404 order to investigate how Archaeorhizomycetes interacts with plant roots and other fungi,
405 and how climate and soil characteristics shape abundance patterns. For example, by
406 performing experiments on the significant predictors found in this study, we can better
407 define how these taxa are influencing and interacting with their environment. Is
408 Archaeorhizomycetes forming endophytic structures inside the plant tissues of *C. flacca*?
409 One can answer this question by designing plant microcosm experiments similar to those
410 carried out by van der Heijden and colleagues (1998) or Johnson and colleagues (2003),
411 where *C. flacca* is first grown in soil inoculated with Archaeorhizomycetes OTUs, and then
412 after growing, examine the plant tissues for Archaeorhizomycetes fungal structures.

413 The results from the linear and quadratic regressions did not return significant

414 factors that predict Archaeorhizomycetes' ability to employ a free-living saprotrophic
415 lifestyle, yet experiments conducted by Rosling and colleagues (2011 and 2013) suggest
416 that these taxa could be saprobes. Therefore, we propose further research to deliberately
417 study if Archaeorhizomycetes has saprotrophic qualities, and if there is demonstrated
418 competition for resources between other fungal OTUs and Archaeorhizomycetes. To test
419 this theory, an experiment similar to Hodge & Fitter's (2010) study can be conducted,
420 where only Archaeorhizomycetes is exposed to a patch of organic material labeled with
421 isotopic nitrogen (^{15}N), and then one can detect if the previously labeled ^{15}N moved inside
422 the fungal hyphae. Introducing Archaeosporaceae OTUs into the experiment along with
423 Archaeorhizomycetes and the investigating the amount of ^{15}N is present in either fungal
424 family can allow one to measure competition rates. There is also room to consider
425 whether the observed Archaeorhizomycetes OTU abundance is related to environmental
426 gradients of the abiotic factors by performing experiments manipulating the abiotic factors
427 and then measure how these taxa react.

428 In conclusion, our data support part of the initial hypothesis that
429 Archaeorhizomycetes has potential endophytic-symbiotic capabilities due to the significant
430 relationship to the endophytic plant species *C. flacca*. However, the results did not validate
431 the prediction that Archaeorhizomycetes is free-living saprobe, but further research may
432 confirm this postulation. Much consideration was taken when choosing which correlative
433 and exploratory analyses to use to properly explain the function Archaeorhizomycetes,
434 and we tried many additional approaches before arriving at those described in this study.
435 Due to the large amount of raw data collected from field sampling, the tactic of reducing
436 these variables, and then determining a putative ecological role of Archaeorhizomycetes
437 from these selected factors proved to be a formidable process, as it is difficult to isolate
438 one single factor that can indicate the ecology of an organism. Despite these challenges,
439 we arrived at similar conclusions to the potential ecological role of these taxa as did

440 Rosling and colleagues (2011), yet we took a correlative analytical approach on data from
441 field sampling in the western Swiss Alps study area rather than performing specific
442 laboratory and field experiments. We advise that in order to gain a richer understanding of
443 Archaeorhizomycetes life strategies, further exploration is necessary. Determining the
444 ecological role of Archaeorhizomycetes is of principal importance because these taxa are
445 abundant worldwide and thus can have a large impact on the interworking of the terrestrial
446 ecosystem.

447

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449 I would like to thank Eric Pinto-Figueroa, my direct supervisor for this First Steps
450 project. Without his insight, organization, enthusiasm, direction, and encouragement, this
451 project would not have been the challenging yet enjoyable experience that it was. Eric was
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453 developed the molecular analyses to assess the fungal content by Illumina sequencing.
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455 properties. Jean-Nicolas Pradervand, for providing the topoclimatic variables of the study
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464

465

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Figure Legends

Figure 1: A digital elevation model (DEM) of the the western Swiss Alps study area in 2012 depicting the distribution of the 103 random-stratified soil sampling sites, as indicated with red circles. The resolution of the model is 5m. This image is courtesy of Eric Pinto-Figueroa and Jean-Nicolas Pradervand.

Figure 2a: The PCA correlation circle includes the 20 selected abiotic variables with Archaeorhizomycetaceae is explained with 3 axes, however only PC1 and PC2 are represented in the figure. The percentage of variance that each PC explains is included as well. The diameter of the correlation circle ranges from -1 to +1.

Figure 2b: The PCA correlation circle for the 13 selected biotic variables with Archaeorhizomycetaceae is explained with 3 axes, however only PC1 and PC2 are represented in the figure. The percentage of variance that each PC explains is included as well. The diameter of the correlation circle ranges from -1 to +1.

Figure 3a: The co-abundance heat map portrays the correlative relationship, either positive or negative, of each of the 20 selected abiotic factors with Archaeorhizomycetaceae (outlined in the purple box). Topoclimatic factors are represented with orange triangles and edaphic variables with brown stars. The shading of

the blue or red color in each box indicates the strength of the correlation, on a scale of -1 to +1.

Figure 3b: This co-abundance heat map shows the abundance relationship, either positive or negatively correlated, of each of the 13 selected biotic factors with Archaeorhizomycetaceae (outlined in the purple box). A filter threshold of ± 0.50 and ± 0.30 has been applied to the fungal families and plant species, respectively. Plant species are indicated with a green leaf and fungal families with a pink diamond. The shading of the blue or red color in each box indicates the strength of the correlation, on a scale of -1 to +1.

Table 1: The 20 selected abiotic factors based on *k*-means clustering, agglomerative hierarchical clustering, and PCA methods. With these analyses, the edaphic variables were reduced from 38 factors to 10, all given with their respective units of measurement.

Table 2: The 13 selected biotic factors based on Spearman's rank correlation with filtering thresholds. With these analyses, the plant species were reduced from 448 species to 7 factors and the other fungal OTUs were reduced from 96 families to 6. The families for each plant species and the phyla information and primary function of each fungal family are provided.

Table 3: Statistical results from the best fit linear regression model determined from an AIC test for Archaeorhizomycetaceae explained by the selected abiotic and biotic factors based on correlative co-abundances analyses. Predictor coefficients and their respective *p*-values are represented. The corrected *p*-values are also given, using three different correction techniques, Benjamini & Hochberg (BH), Bonferroni, Holm. The predictors are

619 grouped according to type, with plant species names colored green, fungal family OTUs in
 620 pink, topoclimatic factors in orange, and the edaphic variables in brown.

621

622 *Table 4:* Statistical results from the best fit quadratic regression model determined from an
 623 AIC test for Archaeorhizomycetaceae explained by the selected abiotic and biotic factors
 624 based on correlative co-abundances analyses. Predictor coefficients and their respective
 625 *p*-values are represented. The corrected *p*-values are also given, using three different
 626 correction techniques, Benjamini & Hochberg (BH), Bonferroni, Holm. The predictors are
 627 grouped according to type, with plant species names colored green, topoclimatic factors in
 628 orange, and the edaphic variables in brown.

629

630 Tables

631

Table 1: The 20 selected abiotic factors

<i>Topoclimatic variables</i>		
Minimum monthly average temperature [tmin, °C]	Minimum precipitation days per growing season [pmin, days]	Daily average evapotranspiration per month [etp, (1/10mm) / (day)]
Maximum monthly average temperature [tmax, °C]	Maximum precipitation days per growing season [pmax, days]	Topographic position [topos, unitless]
Annual growing degree days [gdd, day*deg] with 3°C threshold limits	Sum precipitation days per growing season [psum, days]	Topographic aspect [asp, (deg/percent)]
	Slope [slp, °]	
<i>Edaphic properties</i>		
Soil temperature [$\mu\text{S}\cdot\text{cm}^{-1}$]	Soil pH	Nitrogen [%]
Al ₂ O ₃ [wt-%]	Na ₂ O [wt-%]	K ₂ O [wt-%]
P ₂ O ₅ [wt-%]	Organic matter [OM, wt-%]	d ¹⁵ N [Isotopic nitrogen, per mil Air-N ₂]
Soluble P [mgP/kg DW]		

Table 2: The 13 selected biotic factors

<i>Plant species</i>	<i>Family</i>	<i>Mycorrhizal ecology</i>	<i>References</i>
<i>Carex flacca</i>	Cyperaceae	Non-mycorrhizal	Johnson <i>et al.</i> (2003)
<i>Leucanthemum vulgare</i>	Asteraceae	Mycorrhizal	Invasive Species Compendium (2015)
<i>Silene vulgaris</i>	Caryophyllaceae	Weakly mycorrhizal	Derelle <i>et al.</i> (2012)
<i>Alchemilla coriacea</i>	Rosaceae	Unknown	InfoFlora (2015)
<i>Anthyllis vulneraria</i>	Fabaceae	Mycorrhizal, but plant biomass is negatively affected	Fort <i>et al.</i> (2014)
<i>Rumex acetosa</i>	Polgonaceae	Non-mycorrhizal	Olsson & Tyler (2004)

<i>Stellaria graminea</i>	Caryophyllaceae	Non-mycorrhizal	Olsson & Tyler (2004)
<i>Fungal families</i>	<i>Fungal Phyla</i>	<i>Primary Ecological Function</i>	<i>References</i>
Tubeufiaceae	Ascomycota	Saprobies	Kodsueb <i>et al.</i> (2006)
Suillaceae	Basidiomycota	Ectomycorrhizal (EM)	Carlile <i>et al.</i> (2001)
Archaeosporaceae	Glomeromycota	Arbuscular mycorrhizae (AM)	Morton <i>et al.</i> (2001)
Thelephoraceae	Basidiomycota	Ectomycorrhizal (EM)	Haug <i>et al.</i> (2005)
Mortierellaceae	Zygomycota	Saprobies	Carlile <i>et al.</i> (2001)
Sebacinaceae	Basidiomycota	Mycorrhizae	Carlile <i>et al.</i> (2001)

Table 3: Results for the best fit linear regression model

Archaeorhizomycetaceae as the response variable

Predictors	Estimate	<i>p</i> -value		Corrected <i>p</i> -values					
				BH		Bonferroni		Holm	
<i>Carex flacca</i>	74.7458	0.000353	***	0.0032	**	0.0032	**	0.0032	**
Archaeosporaceae	-15.7189	0.028058	*	0.0505	*	0.2525	NS	0.1403	NS
Maximum monthly avg temperature (tmax)	5.0881	0.003436	**	0.0100	*	0.0309	*	0.0241	*
Annual growing degree days (gdd)	-2.792	0.004443	**	0.0100	*	0.0400	*	0.0267	*
Soil pH	109.8982	0.047486	*	0.0712	NS	0.4274	NS	0.1899	NS
Al ₂ O ₃	126.8227	0.001154	**	0.0052	**	0.0104	*	0.0092	**

Signif. codes: '***' 0.001; '**' 0.01; '*' 0.05; NS - not significant

Residual standard error: 527.9 on 93 degrees of freedom (DF)

Multiple R^2 : 0.3719

Adjusted R^2 : 0.3112

F-statistic: 6.12 on 9 and 93 DF

p-value: 1.002e-06

Table 4: Results for the best fit quadratic regression model

Archaeorhizomycetaceae as the response variable

Predictors	Estimate	<i>p</i> -value		Corrected <i>p</i> -values					
				BH		Bonferroni		Holm	
<i>Carex flacca</i> ²	2.91E+00	0.042076	*	0.0651	NS	0.2945	NS	0.1683	NS
Maximum precipitation days per growing season (pmax ²)	-2.16E-02	0.005324	**	0.0124	*	0.0373	*	0.0266	*
Daily avg evapotranspiration/month (etp ²)	-1.33E-01	0.002484	**	0.0087	**	0.0174	*	0.0149	*
Al ₂ O ₃ ²	3.87E+00	0.000113	***	0.0008	***	0.0008	***	0.0008	***

Signif. codes: '***' 0.001; '**' 0.01; '*' 0.05; NS - not significant

Residual standard error: 551.2 on 95 degrees of freedom (DF)

Multiple R^2 : 0.3007

Adjusted R^2 : 0.2492

F -statistic: 5.836 on 7 and 95 DF

p -value: 1.197e-05

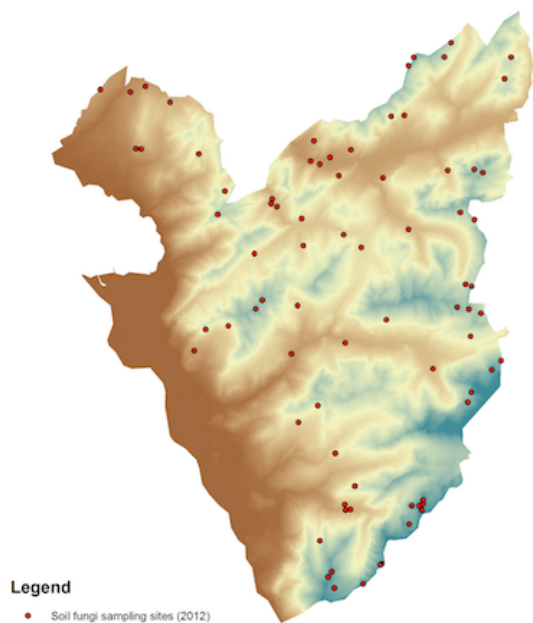
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639 Figures

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641 **Figure 1: Western Swiss Alps study area**



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644 **Figure 2a: PCA correlation circle of abiotic factors**

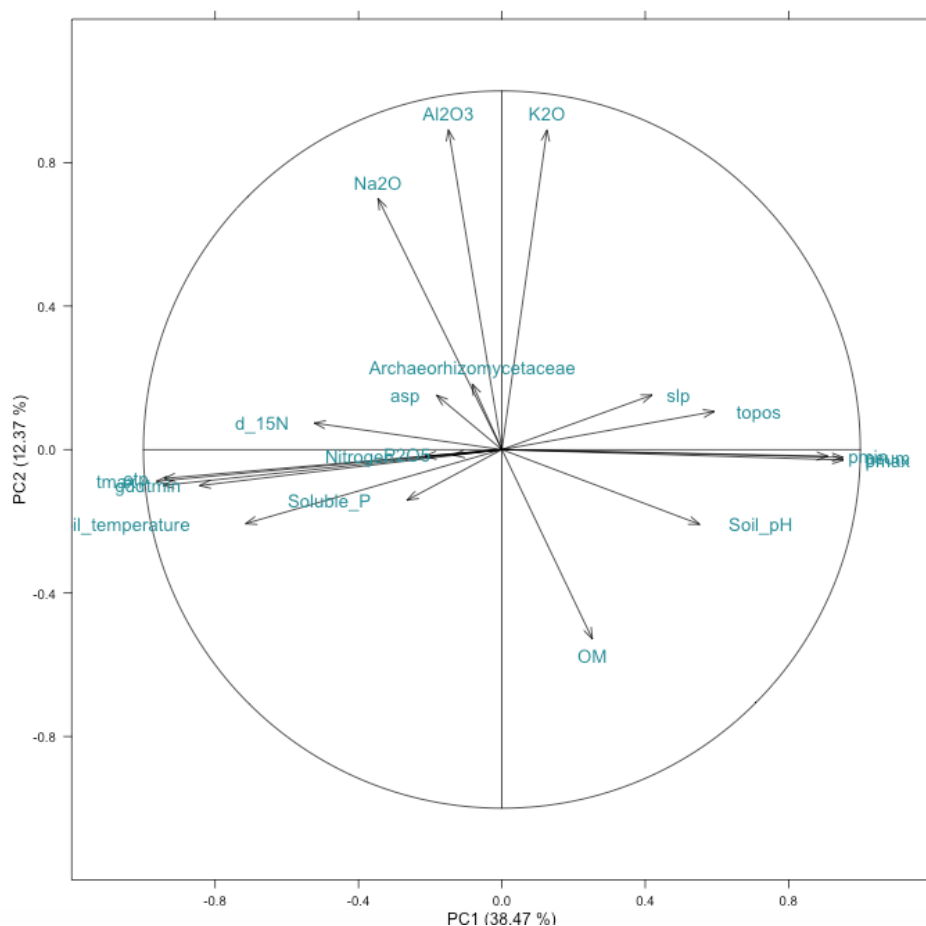
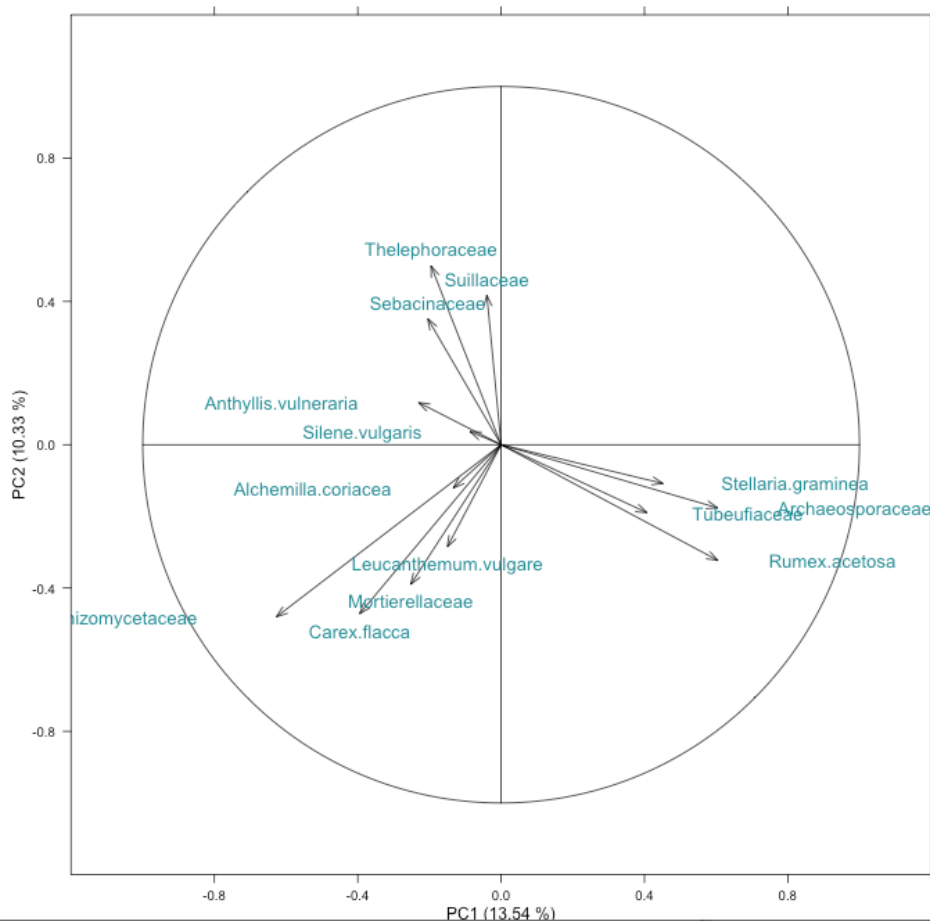
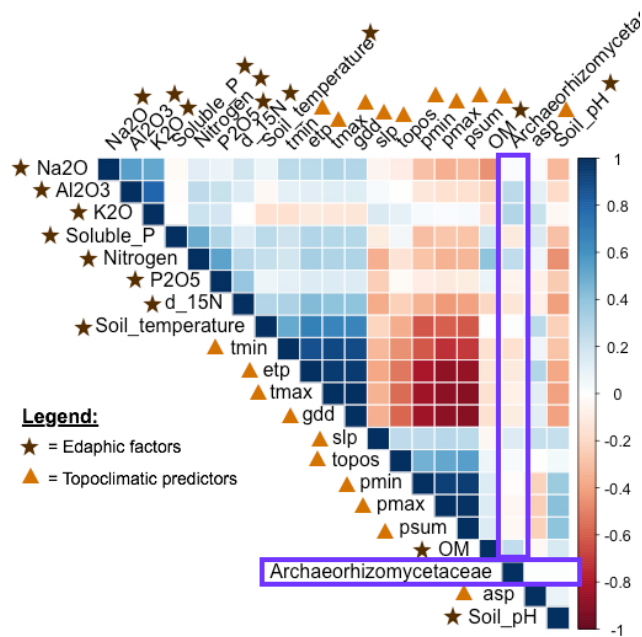


Figure 2b: PCA correlation circle of biotic factors



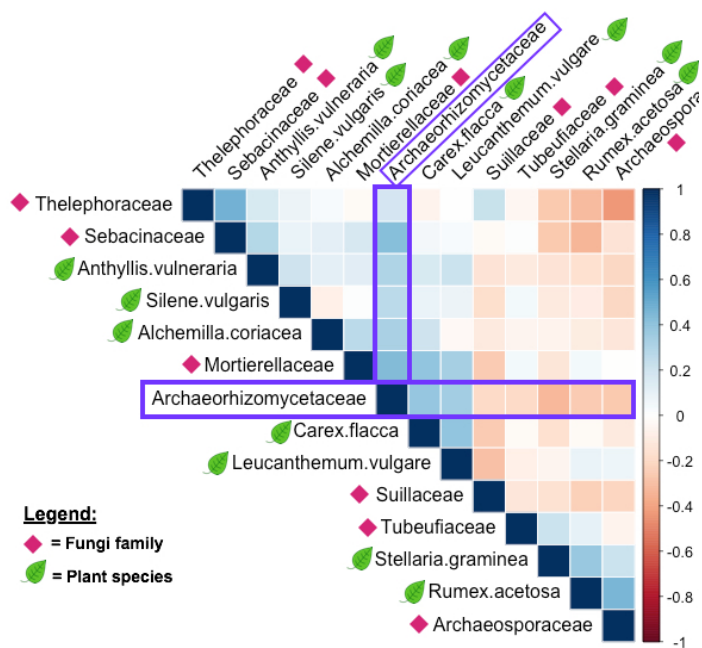
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Figure 3a: Co-abundance heat map for abiotic factors



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Figure 3b: Co-abundance heat map for biotic factors



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668 **Supplementary information**

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670 **Supplementary Figure Legend:**

671 *Figure S1a:* The number of *K*-means clusters determined from a Spearman's rank
672 coefficient analysis on soil property factors and topographic and climatic factors (*Figure*
673 *S1b*). From these graphs, 10 clusters ($k=10$) of soil explanatory variables and topo-
674 climatic factors were selected and then plotted using an agglomerative hierarchical
675 clustering method on a dendrogram tree (*Fig. S2a and S2b*) in order to infer their
676 relatedness and to reduce the amount of variables for the final analysis.

677

678 *Figure S2a:* Soil properties and topographic and climatic factors (*Figure S2b*) are grouped
679 according to their relatedness concluded from a Spearman's rank coefficient analysis. The
680 dendograms were generated using the Ward hierarchical clustering method, which is
681 constructed from a measure of a sum of squares of the data matrix and reduces the
682 variation within a cluster of variables (Murtagh and Legendre, 2014). By choosing $k=10$,
683 the nodes of both dendograms are partitioned into 10 clusters of similar factor groups
684 based on Euclidian distances between variables.

685

686 *Figure S3a:* The PCA correlation circle with only the topoclimatic variables is explained
687 with 3 axes, however only PC1 and PC2 are represented in the figure. The percentage of
688 variance that each PC explains is included as well. The diameter of the correlation circle
689 ranges from -1 to +1.

690 *Figure S3b:* The PCA correlation circle for only the reduced edaphic variables which were
691 selected by the hierarchical clustering method is explained with 3 axes, however only PC1
692 and PC2 are represented in the figure. The percentage of variance that each PC explains
693 is included as well. The diameter of the correlation circle ranges from -1 to +1.

694

695 *Table S1:* The 48 total abiotic factors before reduction via agglomerative hierarchical
696 clustering methods and Spearman's rank correlation analyses. There are 10 topoclimatic
697 variables and 38 edaphic factors, all given with their respective units of measurement.

698

699 *Table S2:* The R session information detailing the packages used to perform the
700 correlative and statistical analyses.

701

702 **Tables**

Table S1: The 48 abiotic factors

Topographic and Climatic variables

Minimum monthly average temperature [tmin, °C]	Minimum precipitation days per growing season [pmin, days]	Daily average evapotranspiration per month [etp, (1/10mm) / (day)]
Maximum monthly average temperature [tmax, °C]	Maximum precipitation days per growing season [pmax, days]	Topographic position [topos, unitless]
Annual growing degree days [gdd, day * deg] with 3°C threshold limits	Sum precipitation days per growing season [psum, days]	Topographic aspect [asp, (deg/percent)]
Slope [slp, °]		

Edaphic properties

Altitude [m]	Soil temperature [°C]	Soil water content [%]
Soil pH	EC 1:1 soil [$\mu\text{S}\cdot\text{cm}^{-1}$]	Phosphorous (P) [mg/g]
Total organic carbon (TOC) [%]	Mineral carbon content (MINC) [%]	Hydrogen index (HI) [mg HC/g TOC]
Oxygen index (OI) [mg CO ₂ /g TOC]	Nitrogen [%]	Carbon [%]
Hydrogen [%]	Phyllosilicates [%]	Quartz [%]
Feldspath K [%]	Plagioclase Na [%]	Calcite [%]
Dolomite [%]	Goethite [%]	Ankérite [%]
Indosés [%]	SiO ₂ [wt-%]	TiO ₂ [wt-%]
Al ₂ O ₃ [wt-%]	Fe ₂ O ₃ [wt-%]	MnO [wt-%]
MgO [wt-%]	CaO [wt-%]	Na ₂ O [wt-%]
K ₂ O [wt-%]	P ₂ O ₅ [wt-%]	Organic matter (OM) [wt-%]
Cr ₂ O ₃ [wt-%]	NiO [wt-%]	d ¹⁵ N [per mil Air-N ₂]
d ¹³ C [per mil V-PDB]	Soluble P [mgP/kg DW]	

703

704 **Table S2: R session information**

R version 3.2.2 (2015-08-14)

Platform: x86_64-apple-darwin13.4.0 (64-bit)

Running under: OS X 10.11.2 (El Capitan)

locale:

en_US.UTF-8/en_US.UTF-8/en_US.UTF-8/C/en_US.UTF-8/en_US.UTF-8

attached base packages:

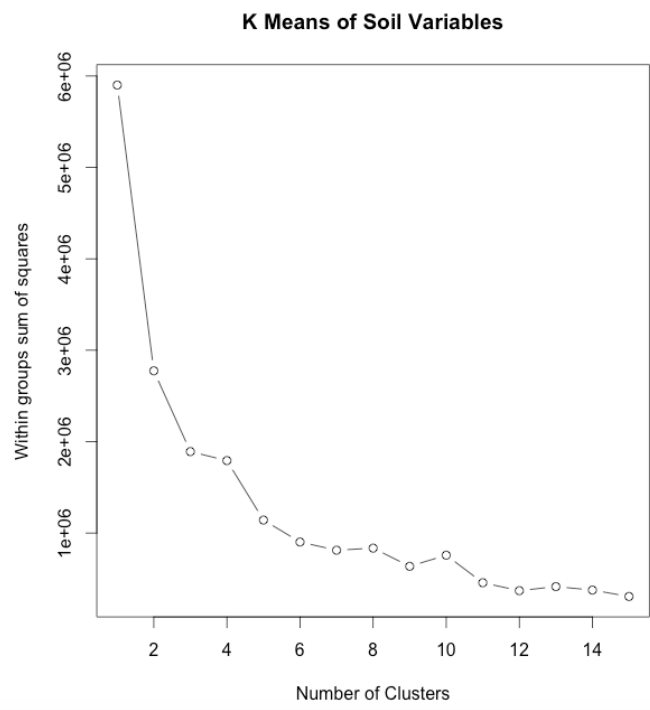
grid	stats	graphics	grDevices
utils	datasets	methods	base

other attached packages:

caret_6.0-58	corrplot_0.73	factoextra_1.0.3	vegan_2.3-1
lattice_0.20-33	permute_0.8-4	adegraphics_1.0-3	ade4_1.7-2
ggplot2_1.0.1	remef_1.0.6.9000		

705
706
707 **Figures**
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709 **Figure S1a: K-means cluster graph for soil properties**
710



711
712
713 **Figure S1b: K-means cluster graph for topographic and climatic variables**

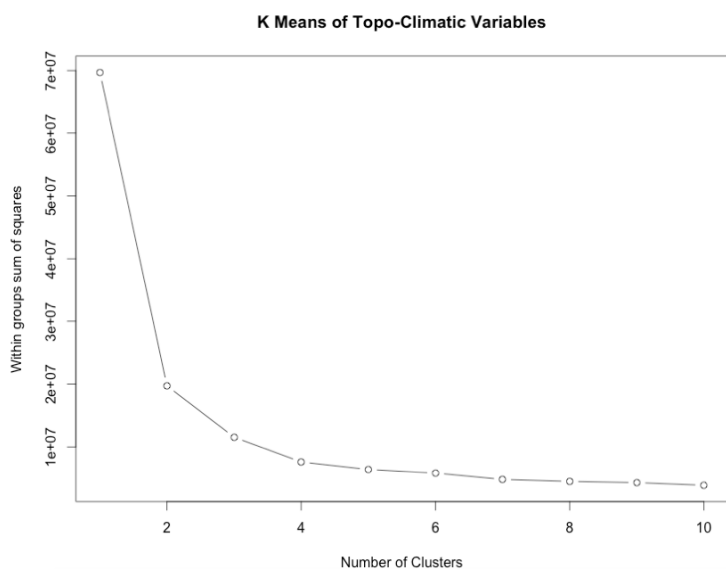


Figure S2a: Hierarchical cluster dendrogram of edaphic properties

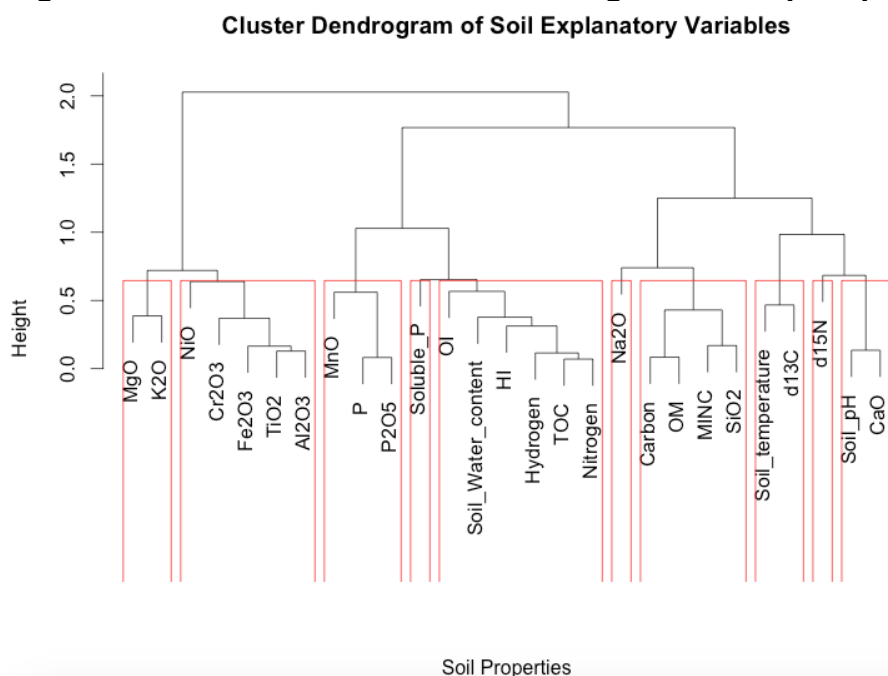


Figure S2b: Hierarchical cluster dendrogram of topoclimatic variables

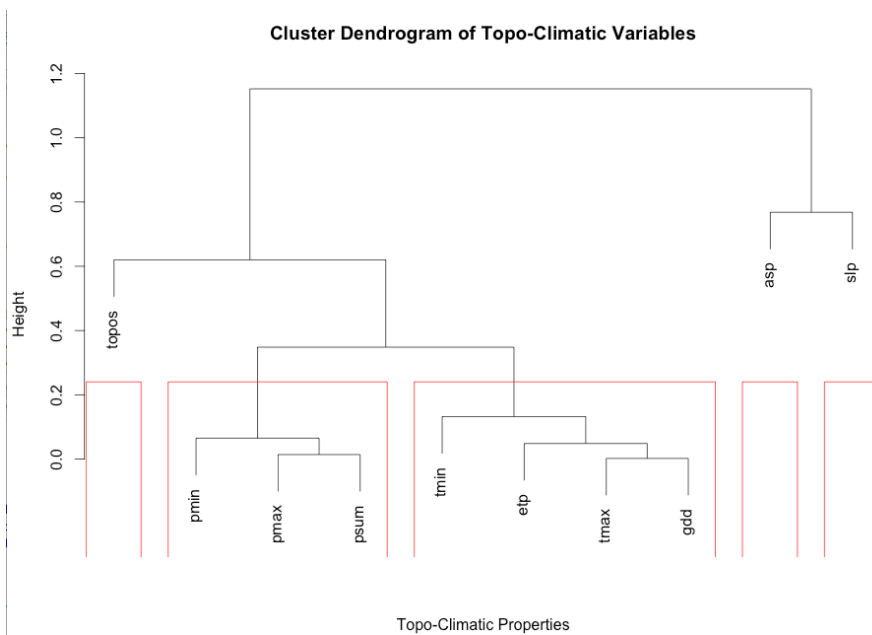


Figure S3a: PCA correlation circle of topoclimatic variables

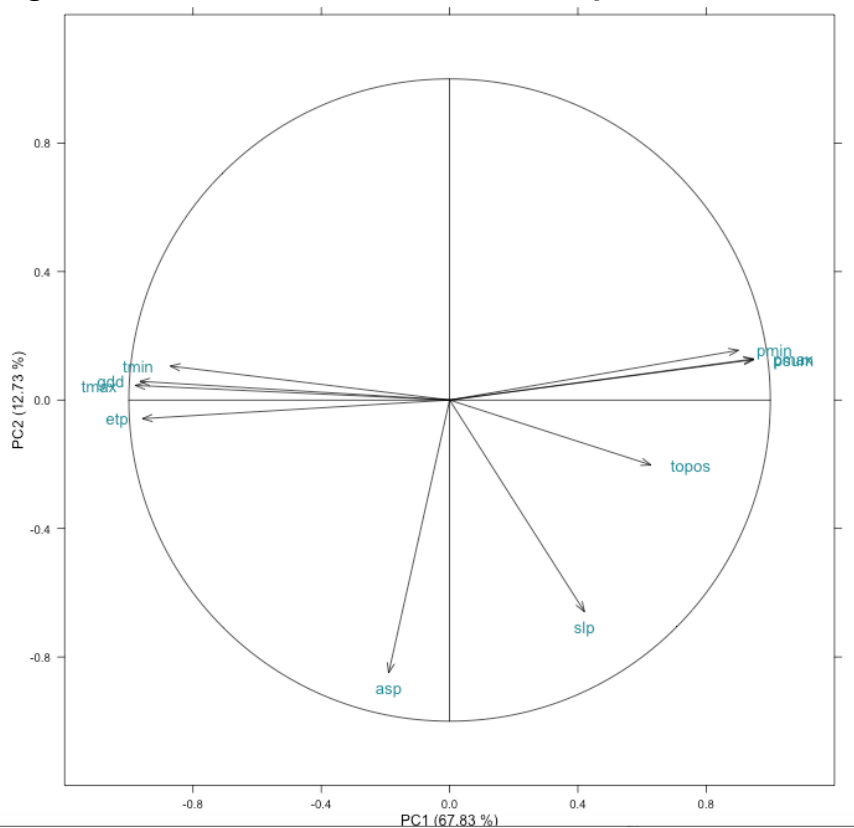


Figure S3b: PCA correlation circle of soil properties

